

**ASSESSMENT COMPANIES**

**COMPANY NAME:** \_\_\_\_\_ **NAIC Company Code:** \_\_\_\_\_  
**Contact:** \_\_\_\_\_ **Telephone:** \_\_\_\_\_  
**REQUIRED FILINGS IN THE STATE OF:** \_\_\_\_\_ **Filings Made During the Year 2008**

(1) Check- list	Line #	(3) REQUIRED FILINGS FOR THE ABOVE STATE	(4) NUMBER OF COPIES	(5) DUE DATE	(6) FORM SOURCE	(7) APPLICABLE NOTES
		<b>I. NAIC FINANCIAL STATEMENTS</b>				
	1	Annual Statement (8 ½"X14")	3	3/1	NAIC	G, H(a), I, J, K, L
	2	Quarterly Financial Statement (8 ½" x 14")	2	5/15,8/15,11/15	NAIC	G, H(a), I, J, K, L
		<b>II. NAIC SUPPLEMENTS</b>				
	3	Insurance Expense Exhibit	2	4/1	NAIC	K
	4	Management Discussion & Analysis	2	4/1	COMPANY/ NAIC	K
		<b>III. AUDITED FINANCIAL STATEMENTS</b>				
	5	Accountants Letter of Qualifications	2	6/1	COMPANY	K, N(c)
	6	Audited Financial Statements	2	6/1	COMPANY	J, K
	7	Report of Significant Deficiencies in Internal Controls	2	6/1	COMPANY	N, R
	8	Notification of Adverse Financial Condition	1	Within 10 days of CPA discovery	COMPANY	
		<b>IV. STATE REQUIRED FILINGS</b>				
	9	Filings Checklist with column 1 completed	xxx		STATE	
	10	Premium tax	1	3/1	STATE	K
	10	Updated Biographical Affidavits	1	3/1	COMPANY	Domestic Only
	11	Basket Clause Statement	1	3/1	STATE	K, T
	12	Application for Renewal of C of A	1	3/1	STATE	K